# 12 – Generative Language Models IA161 Natural Language Processing in Practice

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1 How Generative Language Models Work

2 Ethical Challenges

## Acknowledgement

- of the tools and sources that influenced the content of the lecture
  - ▶ In accordance to the MU Recommendations on using AI in education
- Perplexity.ai to identify useful sources
  - Good explanations of LLMs
  - Papers and datasets dealing with bias in LLMs
- ChatGPT 4 Turbo
  - To get explanations of various concepts
  - ▶ To generate some Python code for the practical part
- The presentation uses screenshots from Serrano Academy

#### **Transformers**

- 2017 Google Brain
  - Attention is all you need

Encoding

- Vector representation of each token
- Based on word embeddings (i.e. context of words)
- Attention (relations) between tokens
- Feed-forward neural network
- Vector representation of the "meaning" of the input text
- Decoding
  - Based on the input from the encoder and the previous output of the decoder
  - lackbox Output vector o Output token
- Useful for many NLP tasks
  - ▶ Machine translation, paraphrase, summarization, question answering. . .

transformer.png

# Word Embeddings

Where would you put the word "apple"?	
embed1.png	embed2.png

#### Attention

I am going to eat an apple and an orange.

Apple released a new model of iPhone.

attention1.png

attention2.png

#### Attention

- Proximity pulls (like gravity)
- Compute attention matrix (proximity for each pair of words)
  - Simple dot product
  - Closer words "pay attention" to each other
- Adjust the values of embeddings according to the matrix
  - ▶ Move the words in the vector space closer to those they attend to





#### Self-Attention

- Keys & Queries: Best embedding for finding similarities
  - Captures the features of the words
  - And how these features match
- However, our task is a bit different
- Predict / generate next word
- We need another matrix: Values
  - To know which words could appear in the same context

$$\mathsf{Attention}(Q,K,V) = \mathsf{softmax}\left(\tfrac{QK^T}{\sqrt{d_k}}\right)V$$

#### Multi-Head Attention

multi-head-attention.png

- One attention is not enough for more complex tasks
- We need to increase the model capacity
  - capture more features, e.g.
    - ★ syntactic vs. semantic relations
    - \* genre, writing style
    - \* short-term vs. long-term dependencies
  - focus on different positions in the text
- Solution: Multi-head attention
  - ► The attention step is performed several times (in parallel)
  - ▶ The results are concatenated

## Transformer Architecture

- Each block captures more features
- Higher-order congitive tasks require combination of the features
  - We need more blocks
- Autoregressive text generation
  - One token at a time
  - ▶ The output token becomes part of the input
  - ► The whole process repeats

transformer1.png

transformer2.png

## Ethics of Artificial Intelligence

- Technology point of view: What the system could do?
- Ethical concerns ⇒ What the system should / shouldn't do?
- Beneficience for human society
- Sometimes not clear what is beneficial and what is not
- Sometimes conflict of ethical and economical values

## Microsoft Tay Chatbot

- Launched in March 2016
- Communication via social media
  - Twitter, Facebook, Instagram and Snapchat
- Intention: Engaging, informal conversations
  - ► Trained on public conversations on social media
- Reality: Racist, fascist and sexist troll
  - Trained on public conversations on social media
- Taken down after 24 hours
- Shame for Microsoft, but valuable lesson for the AI community



## Galactica by Meta

- Published November 15<sup>th</sup> 2022 by Meta Al
- Generative language model to assist scientists
  - ▶ Trained on 48 million of scientific papers, textbooks, lecture notes. . .
- Problems: Wrong or biased, but persuasive output
  - Risk: Outputs affect scientific truth
  - ▶ In addition to paper mills, predatory journals,...
- Benefits for honest scientists not clear
- Taken down after three days
- (Chat GPT published on November 30<sup>th</sup> 2022)

https://www.technologyreview.com/2022/11/18/1063487/

meta-large-language-model-ai-only-survived-three-days-gpt-3-science/

## Ethical Considerations of Large Text Models

- Timnit Gebru, former head of Google AI ethics
- The paper was never published, Gebru was fired from Google
- Training & running energy consumption / carbon footprint
  - ▶ Training of GPT-3: 1287 MWh (Patterson et al., 2022)
    - ★ Annual electricity consumption of 217 people in Czechia
  - Models mostly in English ⇒ Benefits for rich countries, but consequences for poor countries ⇒ Environmental racism
- Training from the internet bias
  - Content racist, sexist, abusive (Al sees as normal)
  - Further marginalization of already marginalized communities
  - ► Too large data are impossible to audit inherent risk

#### https:

// www. technologyreview.com/2020/12/04/1013294/google-ai-ethics-research-paper-forced-out-timn it-gebru/section for the content of the con

#### Bias in LLMs

"Feeding AI systems on the world's beauty, ugliness, and cruelty, but expecting it to reflect only the beauty is a fantasy"

- Unbalanced set of creators
  - ▶ Reddit: 67% users are male; 64% users are between 18 29
  - Wikipedia: 87% editors are male, mostly around 25, or retired
  - Native English speakers: 50% of Wikipedia editors
    - ★ But only 5% of global population

Bender, E. M., Gebru, T., McMillan-Major, A., & Shmitchell, S. (2021, March). On the Dangers of Stochastic Parrots: Can Language Models Be Too Big?. In Proceedings of the 2021 ACM conference on fairness, accountability, and transparency (pp. 610-623). https://dl.acm.org/doi/pdf/10.1145/3442188.3445922

### Selection Bias

- Wikipedia
  - Encyclopedic genre
  - Prevalence of articles on geographical locations, sports, music, cinema and politics
  - Lack of articles on literature, economy and history
- Europarl
  - Prevalence of topics of interest of the EU (finance, law)

wikipedia-selection-bias.jpg

## Consequences of Bias

- Lack of contextual understanding
  - Biased disambiguation
  - Misinterpretation
  - Inaccurate or biased translations
- Bias amplification
  - More advantages for already advantaged
  - More disadvantages for already disadvantaged
- Biased programming code generation
  - Security vulnerabilities
  - Quality and reliability concerns

Where is the borderline between useful world knowledge and harmful stereotypes?

## Bias: Anecdotical Evidence

Midjourney was asked to draw a professor, a doctor and a manager

professor.png doctor.png manager.png

# How to (Objectively) Measure Bias?

- Not an easy problem, depends on the application
- Curated datasets containing
  - ► Text seeds to complete
  - Questions to answer
  - Ambiguous text to translate
  - Text fragments with masked words to complete
- Specification of subgroups
  - sex, religion, race, profession, political ideology
- Metrics with respect to subgroups
  - Accuracy of the answer (translation)
  - Positive / negative / neutral sentiment in the answer