

# 07 – Language modelling

## IA161 Advanced Techniques of Natural Language Processing

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October 30, 2017

- 1 Introduction to Language Modelling
- 2 N-grams
- 3 Evaluation of Language Models
- 4 Neural Networks and Language Modelling
- 5 State-of-the-art results
- 6 Practical part: generating random texts

# Language models—what are they good for?

- assigning scores to sequences of words
- predicting words
- generating text

⇒

- statistical machine translation
- automatic speech recognition
- optical character recognition

# Predicting words

Do you speak ...

Would you be so ...

Statistical machine ...

Faculty of Informatics, Masaryk ...

WWII has ended in ...

In the town where I was ...

Lord of the ...

# Generating text

Describes without errors



A person riding a motorcycle on a dirt road.

Describes with minor errors



Two dogs play in the grass.

Somewhat related to the image



A skateboarder does a trick on a ramp.

Unrelated to the image



A dog is jumping to catch a frisbee.



A group of young people playing a game of frisbee.



Two hockey players are fighting over the puck.



A little girl in a pink hat is blowing bubbles.



A refrigerator filled with lots of food and drinks.



A herd of elephants walking across a dry grass field.



A close up of a cat laying on a couch.

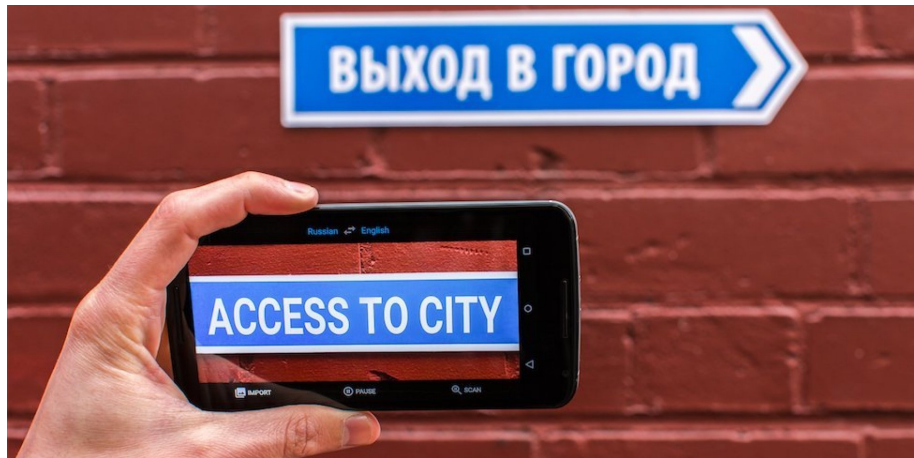


A red motorcycle parked on the side of the road.



A yellow school bus parked in a parking lot.

# MT + OCR



## Raw Article Scan

Road hauliers are seeking, and in many cases obtaining, increases in rates ranging from 3½ per cent. to 6 per cent.

This emerged yesterday from an area-by-area survey carried out by THE FINANCIAL TIMES, a fortnight after publication of the report of the National Board for Prices and Incomes on the road haulage industry.

Hauliers claimed that the report was having the effect of prolonging negotiations, but said they were confident that eventually they would win rises of the size they originally contemplated.

Meanwhile, representatives of the Road Haulage Association may discuss aspects of the N.B.P.I. report with union officials in London to-day at the inaugural meeting of the industry's new 24-strong negotiating committee.

This body, which was established some weeks ago, is the one on

## 3rd Party OCR

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## Tesseract OCR

(with default settings)

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## Language models – probability of a sentence

- LM is a probability distribution over all possible word sequences.
- What is the probability of utterance of  $s$ ?

### Probability of sentence

$p_{LM}(\text{Catalonia President urges protests})$

$p_{LM}(\text{President Catalonia urges protests})$

$p_{LM}(\text{urges Catalonia protests President})$

...

Ideally, the probability should strongly correlate with fluency and intelligibility of a word sequence.



# N-gram models

- an approximation of long sequences using short n-grams
- a straightforward implementation
- an intuitive approach
- good local fluency

## Randomly generated text

“Jsi nebylo vidět vteřin přestal po schodech se dal do deníku a položili se táhl ji viděl na konci místnosti 101,” řekl důstojník.

## Hungarian

A társaság kötelezettségeiért kapta a középkori temploma az volt, hogy a felhasználók az adottságai, a felhasználó azonosítása az egyesület alapszabályát.

## N-gram models, naïve approach

$$W = w_1, w_2, \dots, w_n$$

$$p(W) = \prod_i p(w_i | w_1 \dots w_{i-1})$$

Markov's assumption

$$p(W) = \prod_i p(w_i | w_{i-2}, w_{i-1})$$

$$p(\text{this is a sentence}) = p(\text{this}) \times p(\text{is} | \text{this}) \times p(\text{a} | \text{this}, \text{is}) \times p(\text{sentence} | \text{is}, \text{a})$$

$$p(\text{a} | \text{this}, \text{is}) = \frac{|\text{this is a}|}{|\text{this is}|}$$

**Sparse data** problem.

## Computing, LM probabilities estimation

Trigram model uses 2 preceding words for probability learning. Using **maximum-likelihood estimation**:

$$p(w_3|w_1, w_2) = \frac{\text{count}(w_1, w_2, w_3)}{\sum_w \text{count}(w_1, w_2, w)}$$

quadrigram: (*lord, of, the, ?*) ( )

<i>w</i>	<b>count</b>	$p(w)$
rings	30,156	0.425
flies	2,977	0.042
well	1,536	0.021
manor	907	0.012
dance	767	0.010
...		

## Large LM – n-gram counts

How many unique n-grams in a corpus?

<b>order</b>	<b>unique</b>	<b>singletons</b>
unigram	86,700	33,447 (38.6%)
bigram	1,948,935	1,132,844 (58.1%)
trigram	8,092,798	6,022,286 (74.4%)
4-gram	15,303,847	13,081,621 (85.5%)
5-gram	19,882,175	18,324,577 (92.2%)

Corpus: Europarl, 30 M tokens.

# Language models smoothing

The problem: an n-gram is missing in the data but is in a *sentence*  $\rightarrow p(\textit{sentence}) = 0$ .

We need to assign non-zero  $p$  for *unseen data*. This must hold:

$$\forall w. p(w) > 0$$

The issue is more pronounced for higher-order models.

Smoothing: an attempt to amend real counts of n-grams to expected counts in any (unseen) data.

## Add-one smoothing (Laplace)

Maximum likelihood estimation assigns  $p$  based on

$$p = \frac{c}{n}$$

Add-one smoothing uses

$$p = \frac{c + 1}{n + v}$$

where  $v$  is amount of all possible  $n$ -grams. That is quite inaccurate since all permutations might outnumber real (possible)  $n$ -grams by several magnitudes.

Europarl has 139,000 unique words = 19 G possible bigrams. But it has only 53 M tokens, so maximally 53 M bigrams.

This smoothing overvalues unseen  $n$ -grams.

## Add- $\alpha$ smoothing

We won't add 1, but  $\alpha$ . This can be estimated for the smoothing to be the most just and balanced.

$$p = \frac{c + \alpha}{n + \alpha v}$$

$\alpha$  can be obtained experimentally: we can try several different values and find the best one.

Usually it is very small (0.0001).

## Deleted estimation

We can find unseen n-grams in another corpus. N-grams contained in one of them and not in the other help us to estimate general amount of unseen n-grams.

E.g. bigrams not occurring in a training corpus but present in the other corpus million times (given the amount of all possible bigrams equals 7.5 billions) will occur approx.

$$\frac{10^6}{7.5 \times 10^9} = 0.00013 \times$$



## Good–Turing smoothing

We use *frequency of frequencies*: number of various n-grams which occur  $n$  times.

We use frequency of hapax legomena (singletons in data) to estimate unseen data.

$$r^* = (r + 1) \frac{N_{r+1}}{N_r}$$

Especially for n-grams not in our corpus we have

$$r_0^* = (0 + 1) \frac{N_1}{N_0} = 0.00015$$

where  $N_1 = 1.1 \times 10^6$  a  $N_0 = 7.5 \times 10^9$  (Europarl).

## Example of Good–Turing smoothing (Europarl)

$r$	<b>FF</b>	$r^*$
0	7,514,941,065	0.00015
1	1,132,844	0.46539
2	263,611	1.40679
3	123,615	2.38767
4	73,788	3.33753
5	49,254	4.36967
6	35,869	5.32929
8	21,693	7.43798
10	14,880	9.31304
20	4,546	19.54487

# Smoothing Good-Turing smoothing

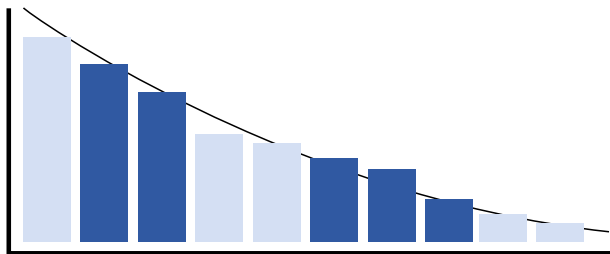


Figure: Missing ranks might be interpolated using standard techniques.

## Interpolation and back-off

Previous methods treated all unseen n-grams the same. Consider trigrams

*beautiful young girl*

*beautiful young granny*

Despite we don't have any of these in our training data, the former trigram should be more probable.

We will use probability of lower order models, for which we have necessary data:

*young girl*

*young granny*

*beautiful young*

# Interpolation

$$p_I(w_3|w_1 w_2) = \lambda_1 p(w_3) \times \lambda_2 p(w_3|w_2) \times \lambda_3 p(w_3|w_1 w_2)$$

If we have enough data we can trust higher order models more and assign a higher significance to corresponding n-grams.

$p_I$  is probability distribution, thus this must hold:

$$\begin{aligned} \forall \lambda_n : 0 \leq \lambda_n \leq 1 \\ \sum_n \lambda_n = 1 \end{aligned}$$

# Quality and comparison of LMs

We need to compare quality of various LM (various orders, various data, smoothing techniques etc.)

1) extrinsic (WER, MT, ASR, OCR) and 2) intrinsic (perplexity) evaluation

A good LM should assign a higher probability to a good (looking) text than to an incorrect text. For a fixed test text we can compare various LMs.

## Cross-entropy

$$\begin{aligned} H(p_{LM}) &= -\frac{1}{n} \log p_{LM}(w_1, w_2, \dots, w_n) \\ &= -\frac{1}{n} \sum_{i=1}^n \log p_{LM}(w_i | w_1, \dots, w_{i-1}) \end{aligned}$$

Cross-entropy is average value of negative logarithms of words probabilities in testing text. It corresponds to a measure of uncertainty of a probability distribution. **The lower the better.**

A good LM should reach entropy close to real entropy of language. That can't be measured directly but quite reliable estimates exist, e.g. Shannon's game. For English, entropy is estimated to approx. 1.3 bit per letter.

# Perplexity

$$PP = 2^{H(p_{LM})}$$

Perplexity is a simple transformation of cross-entropy.

A good LM should not waste  $p$  for improbable phenomena.

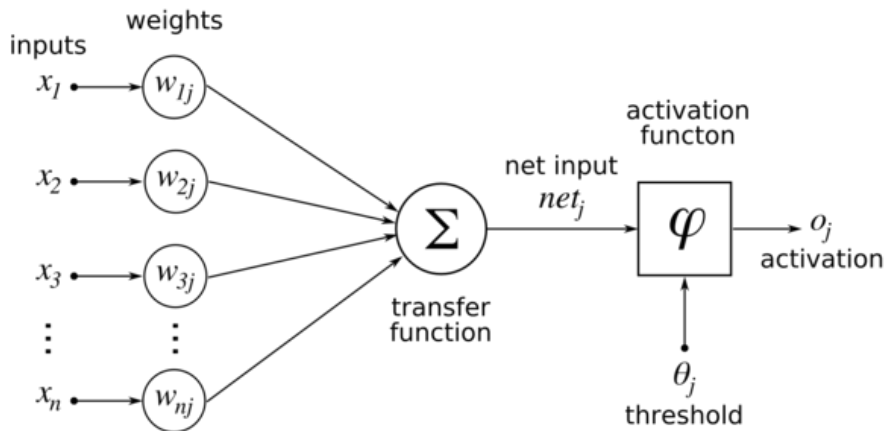
The lower entropy, the better  $\rightarrow$  the lower perplexity, the better.



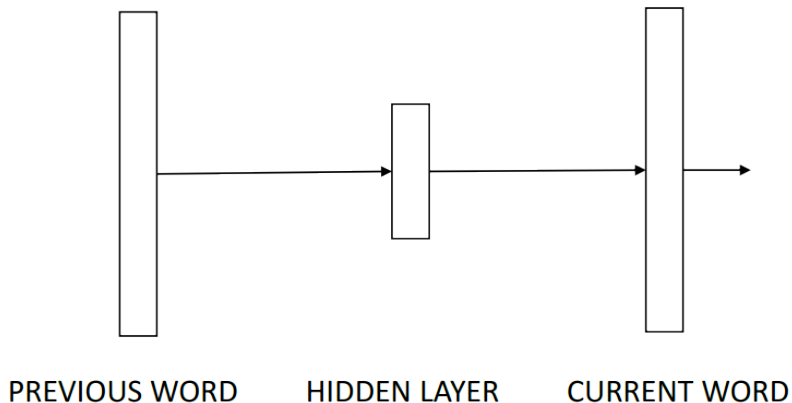
## Comparing smoothing methods (Europarl)

<b>method</b>	<b>perplexity</b>
add-one	382.2
add- $\alpha$	113.2
deleted est.	113.4
Good-Turing	112.9

# Neuron in artificial neural network



# Basic NN

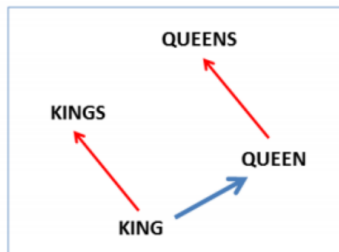
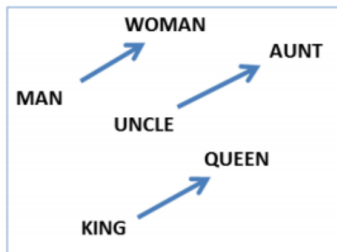


Bigram neural language model.

One-hot representation of words: [ 0 0 0 0 0 0 0 1 0 0 0 0 ]

# Distributional Representation of Words

- goal: more compact representation of vectors
- limited dimensionality (500–1000)
- [Mikolov et al., 2013b]
- word vectors capture many linguistic properties (gender, tense, plurality, even semantic concepts like “capital city of”)



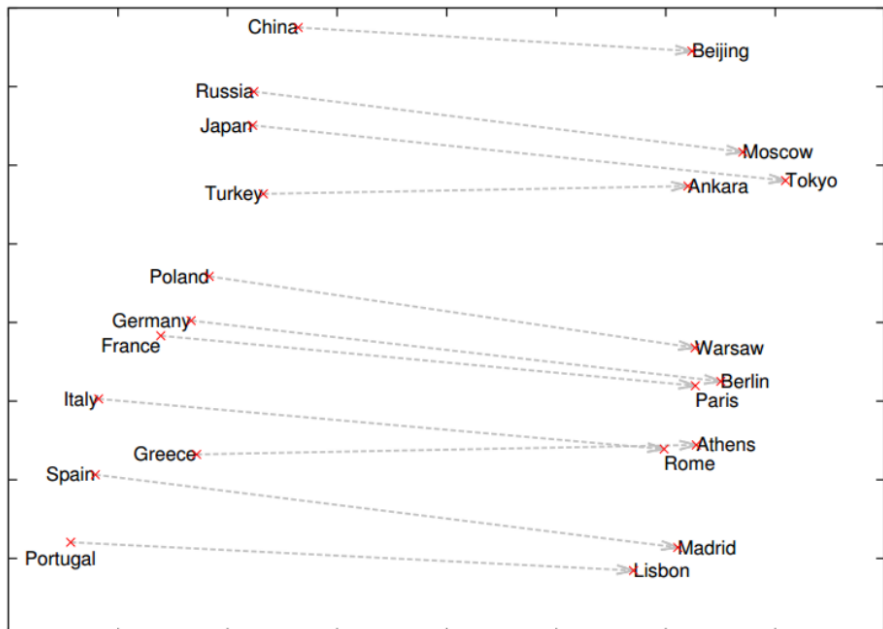
# Features: nearest neighbors

	<b>Redmond</b>	<b>Havel</b>	<b>graffiti</b>	<b>capitulate</b>
<b>Collobert NNLM</b>	conyers lubbock keene	plauen dzerzhinsky osterreich	cheesecake gossip dioramas	abdicate accede rearm
<b>Turian NNLM</b>	McCarthy Alston Cousins	Jewell Arzu Ovitz	gunfire emotion impunity	- - -
<b>Mnih NNLM</b>	Podhurst Harlang Agarwal	Pontiff Pinochet Rodionov	anaesthetics monkeys Jews	Mavericks planning hesitated
<b>Skip-gram (phrases)</b>	Redmond Wash. Redmond Washington Microsoft	Vaclav Havel president Vaclav Havel Velvet Revolution	spray paint grafitti taggers	capitulation capitulated capitulating

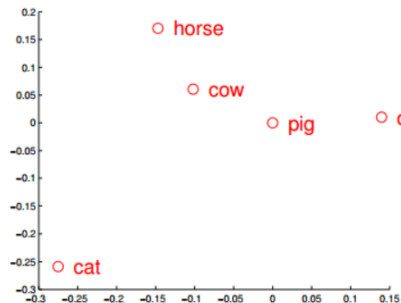
## Features: vector arithmetics I

<i>Expression</i>	<i>Nearest token</i>
Paris - France + Italy	Rome
bigger - big + cold	colder
sushi - Japan + Germany	bratwurst
Cu - copper + gold	Au
Windows - Microsoft + Google	Android
Montreal Canadiens - Montreal + Toronto	Toronto Maple Leafs

## Features: vector arithmetics II



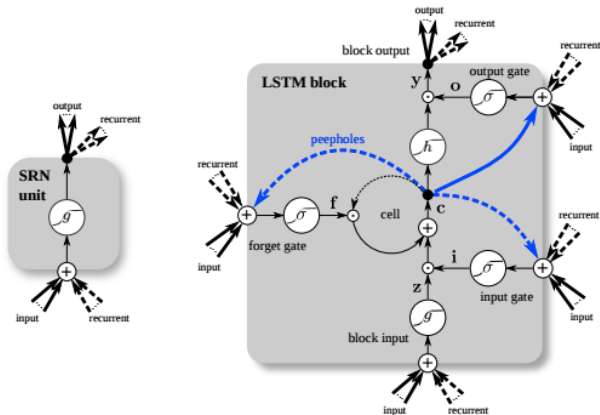
## Features: vector arithmetics III





# State-of-the-art neural models




The combine advantages of word embeddings and high performance of GPU (vector operations). Terms to remember: recurrent and long-short term memory models.






# Best models

Model	Num. Params [billions]	Training Time		Perplexity
		[hours]	[CPUs]	
Interpolated KN 5-gram, 1.1B n-grams (KN)	1.76	3	100	67.6
Katz 5-gram, 1.1B n-grams	1.74	2	100	79.9
Stupid Backoff 5-gram (SBO)	1.13	0.4	200	87.9
Interpolated KN 5-gram, 15M n-grams	0.03	3	100	243.2
Katz 5-gram, 15M n-grams	0.03	2	100	127.5
Binary MaxEnt 5-gram (n-gram features)	1.13	1	5000	115.4
Binary MaxEnt 5-gram (n-gram + skip-1 features)	1.8	1.25	5000	107.1
Hierarchical Softmax MaxEnt 4-gram (HME)	6	3	1	101.3
Recurrent NN-256 + MaxEnt 9-gram	20	60	24	58.3
Recurrent NN-512 + MaxEnt 9-gram	20	120	24	54.5
Recurrent NN-1024 + MaxEnt 9-gram	20	240	24	51.3





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# Character-based Language Model

The goal of the CBLM is:

- limit language units not by length but by frequency
- vari-grams
- use bytes as basic units

## Suffix tree example

Input is any plain text data, one sentence per line.

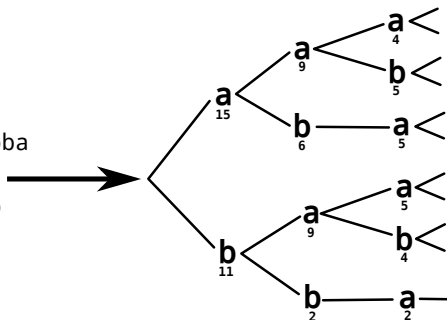
l	suffix	SA	sorted suffix	LCP
0	popocatepetl	5	atepetl	0
1	opocatepetl	4	catepetl	0
2	pocatepetl	7	epetl	0
3	ocatepetl	9	etl	1
4	catepetl	11	l	0
5	atepetl	3	ocatepetl	0
6	tepetl	1	opocatepetl	1
7	epetl	8	petl	0
8	petl	2	pocatepetl	1
9	etl	0	popocatepetl	2
10	tl	6	tepetl	0
11	l	10	tl	1

LCP up to 255 (longer sequences not stored).

# From SA to trie

aaaabaabab  
aaaabababa  
aaabbaaaba  
aaabbabab  
aababba  
aababbbab  
aabbaabab  
aababbab  
aabbbbba  
abaababbaba  
abaabbaba  
abaabbbbab  
ababbaba

ababbbab  
abbbbbaab  
baaabaa  
baaababab  
baaabbbba  
baabaabbabba  
baabbbba  
babaabba  
bababbaaab  
babbbababa  
babbbbaba  
bbabaaaa  
bbabbbab



Parameter  $N$ : all sequences occurring  $> N \times$  are put to trie.



## Trie as stored on disk

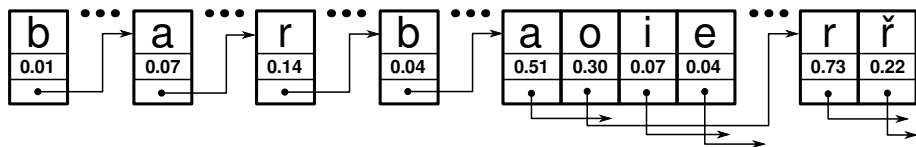


Figure: Example of a Czech model, prefix *barb*

## Example random sentences

**English** First there is the fact that he was listening to the sound of the shot and killed in the end a precise answer to the control of the common ancestor of the modern city of katherine street, and when the final result may be the structure of conservative politics; and they were standing in the corner of the room.

**Czech** Pornoherečka Sharon Stone se nachází v blízkosti lesa. ¶ Máme malý byt, tak jsem tu zase. ¶ Změna je život a tak by nás nevolili. ¶ Petrovi se to začalo projevovat na veřejnosti. ¶ Vojáci byli po zásluze odměněni pohledem na tvorbu mléka. ¶ Graf znázorňuje utrpení Kristovo, jež mělo splňovat následující kritéria.

**Hungarian** Az egyesület székhelye: 100 m-es uszonyos gyorsúszásban a következő években is részt vettek a díjat az égre nézve szójaszármarazékot. ¶ Az oldal az első lépés a tengeri akvarisztikával foglalkozó szakemberek számára is ideális szállás költsége a vevőt terhelik.