

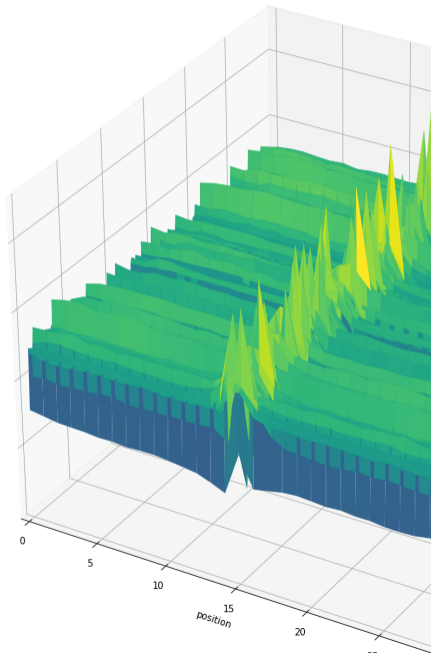
# When FastText Pays Attention

Efficient Estimation of Word Representations  
using Constrained Positional Weighting

Vít Novotný, Michal Štefánik, Eniafe F. Ayetiran, Petr Sojka  
{witiko, stefanik.m, ayetiran}@mail.muni.cz, sojka@fi.muni.cz

Faculty of Informatics, Masaryk University

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# 1. Introduction I

## Venue

- We submitted our paper to the TACL<sup>1</sup> journal (ISSN: 2307-387X, <https://transacl.org/>).
- TACL is only 7 y. o. with no impact factor, but the 4th best h5-index at [Google Scholar](#):

Categories > Engineering & Computer Science > Computational Linguistics ▾

	Publication	<u><a href="#">h5-index</a></u>	<u><a href="#">h5-median</a></u>
1.	Meeting of the Association for Computational Linguistics (ACL)	<u><a href="#">135</a></u>	220
2.	Conference on Empirical Methods in Natural Language Processing (EMNLP)	<u><a href="#">112</a></u>	197
3.	Conference of the North American Chapter of the Association for Computational Linguistics: Human Language Technologies (HLT-NAACL)	<u><a href="#">90</a></u>	148
4.	Transactions of the Association for Computational Linguistics	<u><a href="#">53</a></u>	112

- Accepted papers (7–10 pages) are eligible for presentation at ACL conferences.
- The paper has not been accepted, but we've [published it](#), and submitted it to arXiv.

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<sup>1</sup>Transactions of the Association for Computational Linguistics

# 1. Introduction II

## Background

- *Shallow log-bilinear language models (LBLs)* [1, 2, 3] provide useful word embeddings:
  1. word sense induction [4],
  2. text classification [5],
  3. question answering [6],
  4. evaluation of lexical definitions [7, 8, 9].
- *Deep attention-based models (Transformers)* [10] have redefined SOTA for 11 tasks.
- Theoretical results suggest that *anything LBLs can do, Transformers can do better*:
  - LBLs treat text as a bag of words, equivalent to linear SVMs, factorize PMI matrix [11].
  - Transformers are universal approximators [12] and (theoretically [13]) Turing-complete [14].
- Empirical results suggest that LBLs capture information missing from Transformers:
  - LBLs are *more robust on misspellings* (86%  $\rightarrow$  80%) than Transformers (93%  $\rightarrow$  70%). [15]
  - Combining Transformers + LBLs *improves dependency parsing* (61%  $\rightarrow$  77%). [16, Table 3].
- In my talk, I will:
  1. describe the evolution of the dense and sparse attention mechanisms,
  2. describe the positional LBL of Mikolov et al. [17] and relate it to dense attention,
  3. propose our constrained positional LBL and relate it to sparse attention, and
  4. evaluate the positional LBLs on LM and three novel qualitative evaluation measures.

# 1. Introduction III

## Thinking Fast and Slow

- *The competence hierarchy* of Broadwell [18] describes the stages of human learning:

**Conscious incompetence** The individual lacks a skill and recognizes the deficit.

**Conscious competence** The individual has a skill, but execution requires concentration.

**Unconscious competence** The individual has a skill and they can perform it with ease.

- Kahneman [19] describes the mind of the individual as the interplay of two systems:

1. the fast and intuitive *System 1*, and

2. the slow and logical *System 2*.

- NLU requires both common sense (System 1) and logical reasoning (System 2). [20]

- Peters et al. [21] show ( $N = 100$ ) that systems 1 and 2 are mutually supportive:

**Conscious incompetence** System 2 is bored and directs the individual towards a new skill.

**Conscious competence** The individual has a skill, but execution requires system 2.

**Unconscious competence** The individual has a skill and they can perform it with system 1.

- Assume that System 1 = LBLs and System 2 = Transformers. Then:

→ 1. NLU requires both LBLs and Transformers. [16]

→ 2. LBLs can adopt the skills of Transformers.

3. Improving LBLs will improve energy-efficiency and Transformers. ←

## 2. Models I

### 2.1. (Dense) Attention I

- Early neural machine translation (NMT) used *encoder-decoder* models [22, 23]:
  - An encoder reads and encodes a *source sentence* into a fixed-length *context vector*.
  - A decoder produces a translated *target sentence* from the context vector.
- Due to the fixed length of the context vector, NMT would deteriorate for longer sequences. [24]

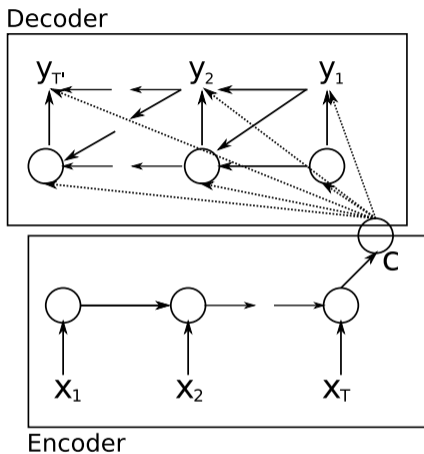


Figure: Cho et al. [23, Figure 1]

## 2. Models II

### 2.1. (Dense) Attention II

- Bahdanau et al. [25] equipped the decoder with *attention*:
  - The decoder constructs a different context vector for each target word.
  - The context vector is a weighted average of the encoder's states.

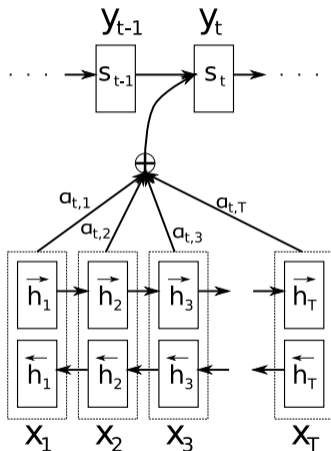
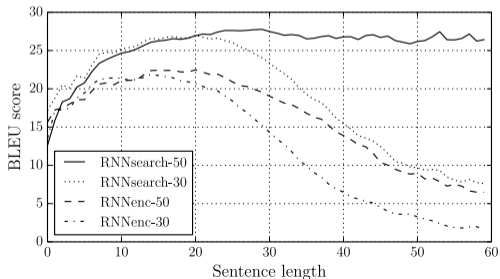
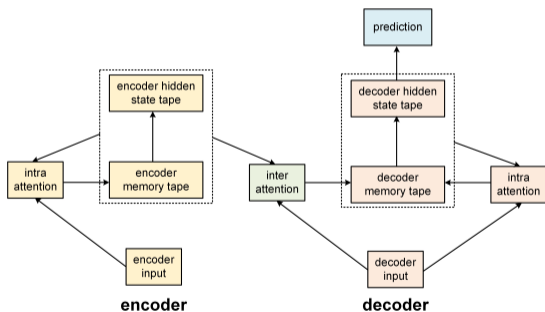


Figure: Bahdanau et al. [25, figures 1 and 2]

## 2. Models III

### 2.1. (Dense) Attention III

- Cheng et al. [26] moved attention directly into the LSTM cells.



The FBI is chasing a criminal on the run .

The FBI is chasing a criminal on the run .

The FBI is chasing a criminal on the run .

The FBI is chasing a criminal on the run .

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The FBI is chasing a criminal on the run .

Figure: Bahdanau et al. [25, figures 1 and 3b]

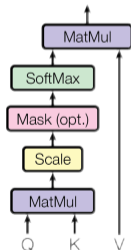
- Attention acts as a random-access memory mechanism for the RNN.

## 2. Models IV

### 2.1. (Dense) Attention IV

- Vaswani et al. [27] proposed *Transformers*:
  - Transformers replace recurrence by the vertical stacking of attention.

Scaled Dot-Product Attention



Multi-Head Attention

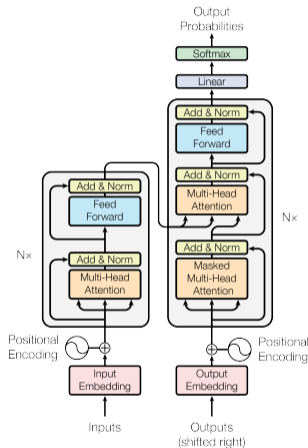
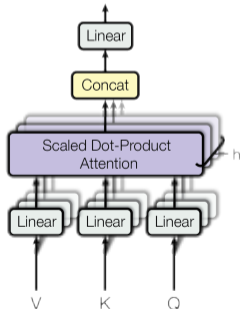


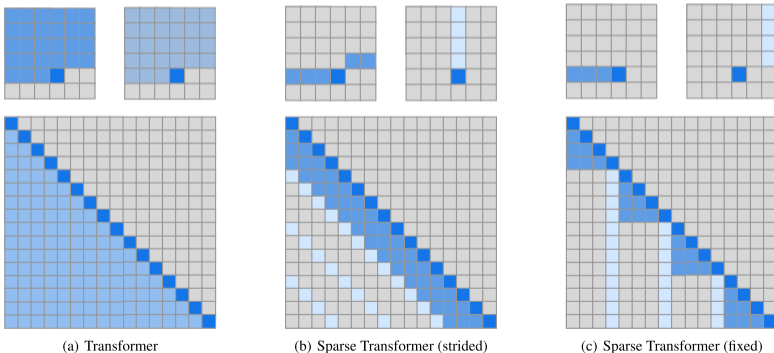
Figure: Vaswani et al. [27, figures 1 and 2]



## 2. Models V

### 2.1. (Sparse) Attention V

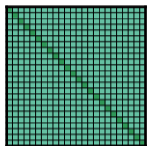
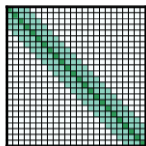
- Dense attention learns weights for *all pairs* of source and target words.
- Therefore, dense attention is in  $\text{SPACE}(n^2)$ , where  $n$  is the size of the sequence.
- This limits the size of  $n$  and makes it *impossible to embed [28] long documents*.
- Child et al. [29] proposed to *sparsify the attention weights* to  $\mathcal{O}(n\sqrt{n})$  elements:



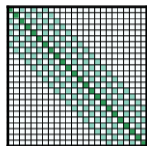
## 2. Models VI

### 2.1. (Sparse) Attention VI

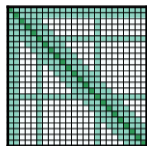
- Beltagy et al. [30] proposed other sparsification techniques, making attention  $\text{SPACE}(n)$ :

(a) Full  $n^2$  attention

(b) Sliding window attention

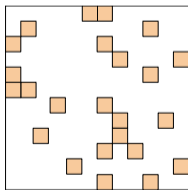


(c) Dilated sliding window

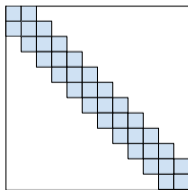


(d) Global+sliding window

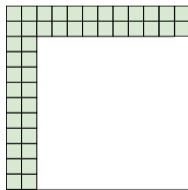
- Zaheer et al. [31] is in  $\text{SPACE}(n)$ , universal approximator, and Turing-complete:



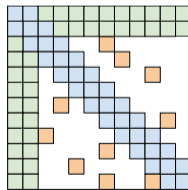
(a) Random attention



(b) Window attention



(c) Global Attention

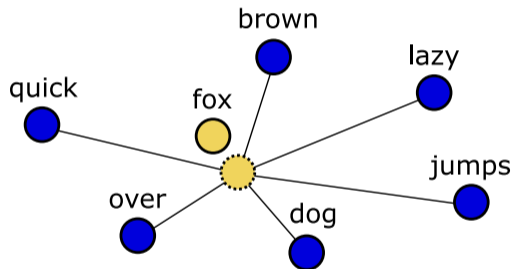


(d) BIGBIRD

## 2. Models VII

### 2.2. Log-Bilinear Language Models I

General model [1, 32] Predicts a masked word from the mean context word vector:



Subword model [3] Makes words share weights by modeling subword units:

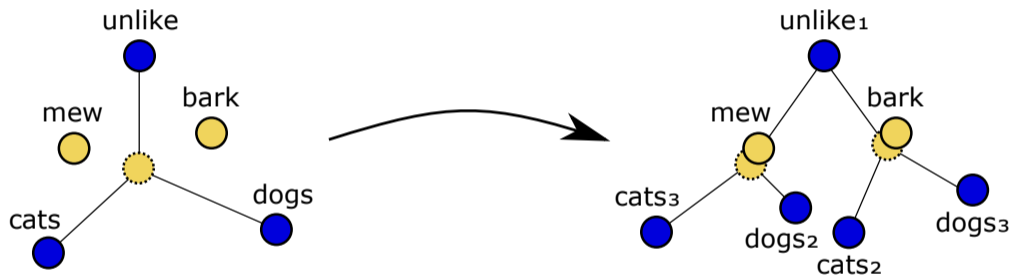
$$\text{lazy} = \langle \text{la} \quad \text{laz} \quad \text{azy} \quad \text{zy} \rangle$$

$$\text{blue circle} = (\text{blue circle} + \text{blue circle} + \text{blue circle} + \text{blue circle}) / 4$$

## 2. Models VIII

### 2.2. Log-Bilinear Language Models II

Positional model [17] Makes words independent on their position in the sequence:



- Position-independence is achieved through factorization:  $\text{blue circle} = \text{blue circle} \odot \text{pink circle}$
- More than doubles the training time compared to the subword model. [33, Table 3]
- Similarly to dense attention, the model relates different positions in the sequence.

## 2. Models IX

### 2.2. Log-Bilinear Language Models III

Constrained positional model Models contextual and fixed word meaning:  $\text{pink circle} = \text{pink semi-circle} \oplus \mathbf{1}$

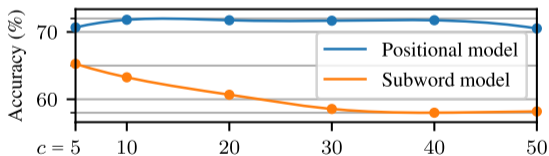
- The meaning of most words is partially *context-dependent* and partially *fixed*. [34]
- The sentence “Fruit flies like  $\langle \text{masked word} \rangle$ .” admits two interpretations:
  1. what the fly likes (adj-noun-verb- $\langle \text{mask} \rangle$ ),
  2. how fruit flies (noun-verb-prep- $\langle \text{mask} \rangle$ ).
  - Some masked words, such as “moisture” satisfy only the first interpretation.
  - Other masked words, such as “a vegetable” satisfy both interpretations.
- Let us now rearrange the sentence as follows: “ $\langle \text{Masked word} \rangle$  flies like fruit.”
  - The rearranged sentence only admits the second interpretation.
  - Masked words still include “a vegetable”, but no longer “moisture”.
- In the constrained positional model:
  1. context-dependent features inhibit “moisture”,
  2. fixed features encourage “a vegetable”.
- Similar to sparse attention, the model makes it practical to use larger contexts.

## 3. Experiments & 4. Results I

### 3.3. (Hyper-)Parameter Optimization

Dataset	Number of tokens
2017 English Wikipedia	2,423,655,228
English Common Crawl	823,575,128,431

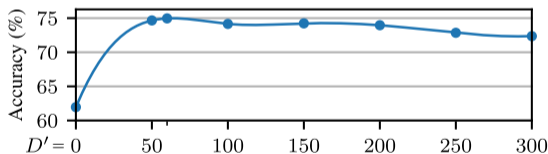
**Table:** Our datasets and their sizes in tokens.



**Figure:** Word analogy accuracy of the subword and positional models trained on the 2017 English Wikipedia with different context window sizes  $c$ .

Model	$c$	$D'$	Time
Subword	5		271h 55m
Positional	15	300	970h 14m
Constrained	15	60	481h 30m

**Table:** The optimal context window sizes  $c$  and numbers of positional features  $D'$ , and training times in hours on the English Common Crawl for the subword, positional, and constrained models.



**Figure:** Word analogy accuracy of the constrained model trained on the 2017 English Wikipedia with different numbers of features  $D'$ .

## 3. Experiments & 4. Results II

### 3.3. Qualitative Evaluation I

**Masked word prediction** We show masked words  $w_t$  in the descending order of  $\Pr(w_t | C_t)$ :

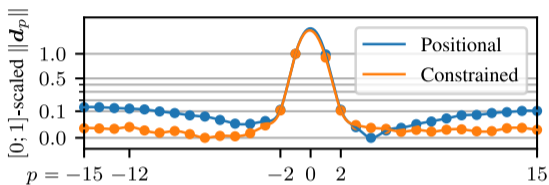
$C_t^1 = \text{"Unlike dogs, cats } \langle \textit{masked word} \rangle \text{"}$		$C_t^2 = \text{"Unlike cats, dogs } \langle \textit{masked word} \rangle \text{"}$		$C_t^3 = \text{"Fruit flies like } \langle \textit{masked word} \rangle \text{"}$		$C_t^4 = \text{"} \langle \textit{Masked word} \rangle \text{ flies like fruit."}$	
#	Prediction	#	Prediction	#	Prediction	#	Prediction
1	cats	1	kennels	1	fruit	1	fruit
2	spayed	2	cats	2	flies	2	insects
3	kennels	3	puppies	3	insects	3	flies
⋮		⋮		⋮		⋮	
1820	mew (100%)	⋮		246	vegetable (99.9%)	⋮	
⋮		4065	bark (99.9%)	⋮		259	vegetable (99.9%)
5581	bark (99.7%)	⋮		9036	moisture (69.6%)	⋮	
⋮		5623	mew (99.8%)	⋮		33465	moisture (42.8%)

(a) Positional model

(b) Constrained positional model

## 3. Experiments & 4. Results III

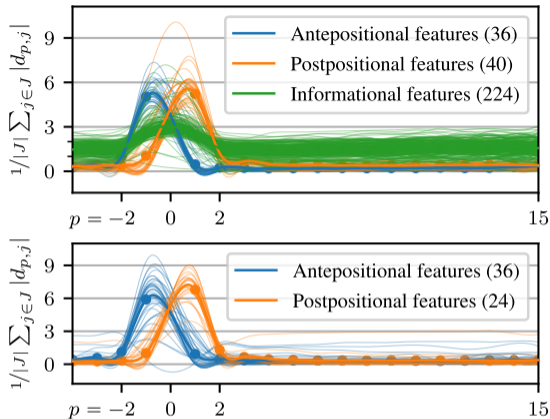
### 3.3. Qualitative Evaluation II



**Figure:** The importance of different positions  $p$  for predicting masked words in the positional and constrained positional models.

#### Importance of context words

- Antepositional: “in”, “for”, “coca”
- Postpositional: “ago”, “else”, “cola”
- Informational: “finance”, “sports”, “politics”

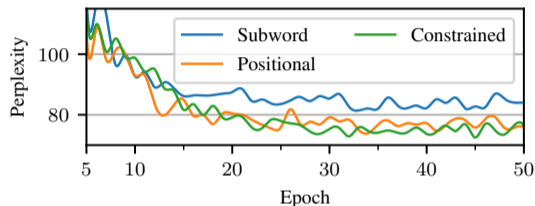


**Figure:** The importance of different positions  $p$  for predicting masked words in the positional (top) and constrained positional (bottom) models according to different clusters  $J$  of positional features. For each cluster  $J$ , we show its size  $|J|$  in parentheses.



## 3. Experiments & 4. Results IV

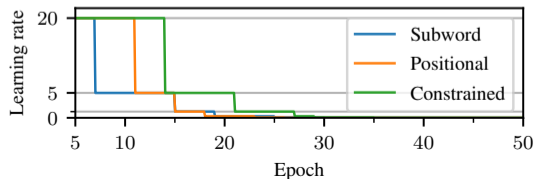
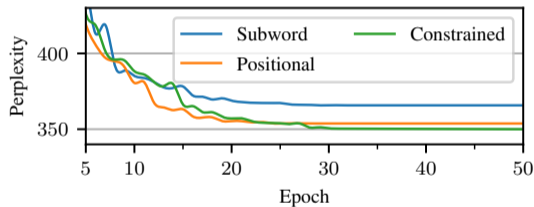
### 3.3. Quantitative Evaluation



**Figure:** Train  $\uparrow$  and validation  $\rightarrow$  perplexities and learning rates  $\searrow$  at different epochs of RNN language models that use subword, positional, and constrained positional models as their lookup tables.

Subword	Positional	Constrained
360.91	347.52	343.13

**Table:** Test perplexities of RNN language models that use subword, positional, and constrained positional models as their lookup tables.



## 5. Conclusion & Future Work

- We have related the attention mechanism to the positional LBL of Mikolov et al. [17].
- We adapted sparse attention for our constrained positional LBL, which is:
  1. more expressive,
  2. better at LM,
  3. as interpretable,
  4. practically fast.
- We publicly released our implementation as a couple of Python packages:
  - a low-level library, a fork of Gensim [35]: <https://github.com/witiko/gensim/tree/pine>,
  - a high-level user interface PInE: <https://github.com/MIR-MU/pine>.



- Future work should focus at:
  1. quantitative evaluation on more tasks,
  2. combining LBLs with Transformers.

Thank You for Your Attention!



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